

1FW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|---|------------------------|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 10/730,224 |
| | | Filing Date | December 8, 2003 |
| | | First Named Inventor | Lawrence, David |
| | | Art Unit | 3621 |
| | | Examiner Name | Not yet assigned |
| Total Number of Pages in This Submission | 2 | Attorney Docket Number | 3499-257 (New BMT Docket No.: G08.133/U) |

| ENCLOSURES (Check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input checked="" type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Acknowledgement Postcard |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
|--|-----------------------------------|-----------------|
| Firm Name | Buckley, Maschoff & Talwalkar LLC | |
| Signature | | |
| Printed name | Kurt M. Maschoff | |
| Date | June 7, 2005 | Reg. No. 38,235 |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
|---|--------------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Signature | | |
| Typed or printed name | Edith Martin | Date June 7, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LAWRENCE

Application No.: 10/730,224

Filing Date: December 8, 2003

Title: BOND ISSUE RISK MANAGEMENT

) Group Art Unit: 3621
)
) Examiner: Not yet assigned
)
) Docket No.: 3499-257
) (New BMT Docket No.: G08.133/U)
)
)
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 7, 2005.

Dated: June 7, 2005

By:

Edith Martin

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ADDRESS CHANGE


Dear Sir:

Please direct all future correspondence with respect to the above-identified application to:

PTO Customer Number 28062
Kurt M. Maschoff
Buckley, Maschoff & Talwalkar LLC
Five Elm Street
New Canaan, CT 06840

Respectfully Submitted,

June 7, 2005
Date


Kurt M. Maschoff
Reg. No. 38,235
(203) 972-0081